Hydrothermal synthesis of ammonium illite

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ANSON

Synthetic gel and glass of illitic composition, natural kaolinite, and mixed-layer illite-smectite were used as starting materials for hydrothermal synthesis of ammonium illite. Ammonium illite was prepared from synthetic gel by hydrothermal treatment at 300 °C. The onset of crystallization began within 3 h, and well-crystallized ammonium illite appeared at 24 h. Increasing reaction time (up to four weeks) led to many illite layers per crystal. In the presence of equivalent proportions of potassium and ammonium, the gel was transformed to illite with equimolar contents of K and NH₄. In contrast, synthesis using glass under the same conditions resulted in a mixture of mixed-layer ammonium illite-smectite with large expandability and discrete illite. Hydrothermal treatments of the fine fractions of natural kaolinite and illite-smectite produced ammonium illite from kaolinite but the illite-smectite remained unchanged.

INRODUCTION

Illite and interstratified illite-smectite are the most common clay minerals in claystones, shales, and hydrothermally altered volcanoclastic rocks. Illite itself, as well as the transformation of smectite to illite, has been extensively studied since the early 1960s. The illitic interlayer contains K as a fixed cation by definition but illites from different environments also have ammonium reported in the interlayers (Stevenson 1959 and other citations below). The content of ammonium can vary between several hundred parts per million to 100% for pure ammonium illite, which is called tobelite. The ammonium often comes from decomposition of organic matter in diagenetic environments (Cooper and Abedin 1981; Williams and Ferrell 1991; Compton et al. 1992) or from coal in anchimetamorphic environments (Juster et al. 1987; Daniels and Altaner 1990; Šucha et al. 1994). The source of ammonium is unclear in hydrothermally altered volcanoclastic rocks (Kozác et al. 1977; Higashi 1982; Bobos et al. 1995). Variable geological environments and the effect of parameters such as temperature, time, fluid, and solid composition on illite and illite-smectite formation have been investigated in many hydrothermal experiments (e.g., Eberl and Hower 1977; Eberl 1978; Roberson and Lahann 1981; Inoue 1983; Whitney and Northrop 1988; Güven and Huang 1991; Huang 1992; Eberl et al. 1993), but we found only one paper that focused on experimental ammonium illite formation (Shigorova et al. 1981).

This paper reports the results of hydrothermal synthesis of ammonium illite from synthetic gel and glass using different solid/fluid ratios. Also, natural kaolinite and illite-smectite were used as a starting materials. These minerals are common constituents of rocks and are often reported as ammonium illite precursors. Equivalent molar proportion of K and ammonium were used to document preferential incorporation into the illite structure.

MATERIALS AND METHODS

Starting materials

The following starting materials were selected for hydrothermal treatment: (1) synthetic gel of illitic composition, (2) synthetic glass of illitic composition, (3) <2 μm fraction of Sedlec kaolinite (Czech Republic), and (4) <2 μm fraction of mixed-layer illite-smectite mineral from the Dolná Ves hydrothermal deposit (Šucha et al. 1992), containing 34% expandable layers (ISCz-1, the Clay Minerals Society source clay repository).

Synthetic gels having compositions corresponding to the formula [Si₆₋₅Al₄₋₃][Al₄₋₃][NH₄]₀₂(OH)₄ or [Si₆₋₅Al₄₋₃][Al₄₋₃][NH₄]₀₂(OH)₄]₀₂(OH)₄ were prepared as follows. Silica colloidal powder was suspended in a solution of aluminum nitrate. Subsequently aluminum hydroxide was obtained by reaction with dilute ammonium hydroxide. This suspension was washed with distilled water until the
nitrates were no longer detectable and then homogenized for 24 h. Finally ammonium hydroxide (in one experiment with potassium hydroxide) was added to the gel in amounts needed to obtain compositions corresponding to the structural formula.

A synthetic glass of the same composition as the NH₄-gel was prepared from silica colloidal powder suspended in a solution of aluminum nitrate, which was subsequently dried at 105 °C and calcined at 700 °C for 3 h. After calcination the glass was pulverized and ammonium hydroxide was added just before hydrothermal treatment. Natural illite-smectite and kaolinite were treated hydrothermally with ammonium hydroxide.

**Hydrothermal treatment**

The experiments were performed in autoclaves at 300 ± 5 °C for 3 h to 28 d using 50 mL Teflon containers. About 3 g of starting material was used for each experiment. The solid/water ratio was 1:1 for synthetic glass, kaolinite, and illite-smectite; however, the water content was much higher (about 90%) for the synthetic gel.

**Characterization of the reaction products**

The reaction products were characterized by X-ray diffraction (XRD), transmission electron microscopy (TEM), high resolution electron microscopy (HRTEM), infrared spectroscopy (IR), and ²⁹Si magic-angle-spinning nuclear magnetic resonance spectroscopy (²⁹Si MAS NMR). Before XRD analyses, the reaction products were exchanged three times with 0.5 M SrCl₂ and subsequently dialyzed to exchange nonfixed cations. Then oriented specimens were prepared by sedimentation of the suspension on glass slides. Samples were analyzed in both air-dried and ethylene-glycolated (EG) states using a Siemens D-500 diffractometer (USGS, Boulder) equipped with a graphite monochromator and CuKα radiation. The

| Table 1. The ²⁹Si MAS NMR data of synthetic gel hydrothermally treated for 3 h, 24 h, and 3 weeks |
|-----------------|--------|----------|-----------------------------|
| Number | ppm | FWHH | % area | Assignments | Notes |
| 3 hours |
| 1 | -62 | 1.3 | 1.1 | O⁴(4Si) [Si(Si)₄] | 1 |
| 2 | -88.9 | 7.2 | 7.7 | O⁴(4Al) and O²(2OH) | 1,2 |
| 3 | -95.8 | 12 | 65.5 | O³(3Al) | 1 |
| 4 | -101.4 | 11 | 17.6 | O²(2Al) and O²(1OH) | 1,2 |
| 5 | -105.6 | 3.3 | 3 | O¹(1Al) | 1 |
| 6 | -111.6 | 2.6 | 2 | O⁴(0Al) | 1 |
| 24 hours |
| 1 | -62 | 2 | 1.8 | O⁴(4Si) | 1 |
| 2 | -83.8 | 4.1 | 10.8 | O²(2Al) | 3 |
| 3 | -88.5 | 6.6 | 64.4 | O¹(1Al) | 3 |
| 4 | -93 | 4 | 23 | O⁴(0Al) | 3 |
| 3 weeks |
| 1 | -62 | 1.5 | 1.2 | O⁴(4Si) | 1 |
| 2 | -87.6 | 8.7 | 76.7 | O¹(1Al) | 3 |
| 3 | -92.7 | 4.3 | 22.1 | O²(0Al) | 3 |

Notes: 1 = amorphous SiO₂, within parts per million range; 2 = substituted and hydrated SiO₂, within parts per million range, CP enhanced; 3 = substituted sheet SiO₂, within parts per million range, no CP enhancement, FWHH = full width at half height.
proportion of expandable and non-expandable interlayers were determined using the NEWMOD computer program (Reynolds 1985) and calculated plots (Sucha and Širáňová 1991; Sucha et al. 1994).

Transmission electron microscopy (TEM) and high resolution transmission electron microscopy (HRTEM) measurements were performed using a Philips 420 STEM microscope operated at 120 kV. Two preparation procedures were used. Samples for TEM analysis were suspended in distilled water using an ultrasonic bath and then prepared by the sedimentation of the suspension onto copper grids already covered by collodium and carbon films. Samples were prepared for HRTEM analysis by coating with agar before applying the embedding procedure described by Tessier (1984). These samples were equilibrated with pure water at a pressure of 32 kPa. The water then was replaced by methanol and propylene oxide. Samples were subsequently impregnated with a Spurr resin. Ultrathin sections, 50 nm thick, were cut with a diamond knife on a Reichert Ultracut E microtome. During the embedding procedure, the swelling smectite interlayers were intercalated by organic components of the resin and d(001) was thus maintained at about 1.35 nm (Środofr et al. 1990). Only crystals having layers strictly parallel to the microscope axis display the images of the layer sequence. Pictures were taken in underfocus conditions close to the Scherzer defocus, and the use of an objective aperture eliminated lattice fringes smaller than 0.35 nm. Such one dimensional lattice fringes can be interpreted as representations of lattice images in which variations in stacking periodicities of crystals can be examined (Iijima and Buseck 1978). The numbers of layers in individual crystals and crystal thicknesses were measured, as described in detail by Środofr et al. (1990), on photographs taken at a magnification of 51000×. Thickness measurements were made from photos using a binocular microscope at a magnification between 10 and 40×.

IR absorption spectra were obtained on a Perkin-Elmer 983G spectrometer by using the KBr pressed disk technique (0.4 mg of sample and 200 mg of Kbr). Both single pulse (SP) and cross polarization (CP) nuclear magnetic resonance (NMR) experiments were conducted. All ²³Si MAS NMR spectra were collected at H₀ = 8.45 T (71.5 MHz) with a sample spinning frequency of 4.0 kHz on a GE300WB spectrometer. For single pulse experiments, up to 1800 acquisitions were collected with ratio frequency (r.f.) pulse times of 6 μs and 40 s recycle delay times. CP experiments were collected with r.f. pulse times of 8 μs, 2 s recycle delay times, and contact times of 2 ms.
The 

results

Hydrothermal treatment of the gel

Amorphous starting material of illitic composition was treated hydrothermally for periods from 3 h to 28 d. XRD patterns of the reaction products show a rapid evolution toward illitic structure. No reflections, only an elevated background in the 2θ range 15–30° 2θ, are present in the pattern of the 3 h experiment. The 6 h experiment shows only a broad low-angle shoulder at about 9° 2θ, which may indicate a 001 basal reflection for very thin illite. Significant changes were found between the 6 and 24 h products. The XRD pattern of the 24 h treated gel (Fig. 1) shows a set of sharp and well-defined peaks representing ammonium illite. The positions of the peak maxima were compared with those calculated by the NEWMOD program, and they fit well. Basically the XRD patterns were similar in all following experiments (48 h, 7 d, 14 d, 21 d, and 28 d; Fig. 1). No significant changes were observed even after EG saturation, except for the broad reflection at about 7.2° 2θ in the 24 and 48 h experiments, indicating the presence of some expandable interlayers. One 7 d experiment of the synthetic gel was conducted with equal amounts of K and ammonium. The reaction product was again illite. Its XRD pattern shows equivalent molar proportions of K (50%) and NH₄ (50%) in the illite interlayers (Sucha et al. 1994). The NEWMOD calculated XRD pattern of interstratified K-illite-

NH₄-illite replicates that obtained from the reaction product (Fig. 1a).

The IR spectrum of the starting material (Fig. 2a) shows a broad complex band near 3430 cm⁻¹ containing the overlapping OH stretching bands because of Al(OH)₃ and of molecular H₂O. The OH pattern with shoulders

Figure 4. HRTEM image of 3 h treated gel at higher magnification displaying primitive clay layers.

Figure 5. Histograms of the number of 2:1 layers per mixed-layer crystal in gels hydrothermally treated for 2, 7, and 28 d.
near 3540 and 3380 cm\(^{-1}\) and with some splitting of the main band reflects the presence of an amorphous form of aluminum hydroxide. The sharp band at 367 cm\(^{-1}\) is also diagnostic for aluminum hydroxides (Russell and Fraser 1994). Broad absorption bands in the 800–500 cm\(^{-1}\) region are because of vibrations of aluminum hydroxide (Ryskin 1974). A strong band at 1109 cm\(^{-1}\), assigned to Si-O stretching vibration, is typical for fourfold-coordinated silica (Moenke 1974). The position of the Si-O stretching band is very sensitive to the local environment of SiO\(_4\) tetrahedra. Transformation of the three-dimensional Si framework to layered structure results in the shift of this band to lower frequencies. After the 3 h synthesis, the movement of the Si-O stretching band to 1070 cm\(^{-1}\) and the development of a shoulder near 915 cm\(^{-1}\) related to Al\(_2\)OH bending vibration confirms the formation of a layered structure (Russell and Fraser 1994; Fig. 2b). Further shift to 1058 cm\(^{-1}\) and the appearance of a new band at 533 cm\(^{-1}\), attributed to Al-O-Si bending vibration, is seen in the spectrum after the 6 h of synthesis (Fig. 2c). This band is the most sensitive indicator of the presence or absence of octahedral aluminum in smectites (Breen et al. 1995). After the 24 h synthesis, all absorption bands characteristic for illite (i.e., the OH vibrations at 3621 and 932 cm\(^{-1}\) and the Si-O vibrations at 1035, 531, and 474 cm\(^{-1}\)) are observed in the IR spectrum (Fig. 2d). The absorption band at 819 cm\(^{-1}\) is because of Al-O out-of-plane vibration and reflects Al for Si substitution in the tetrahedral sheet (Russell and Fraser 1994). No significant changes were found in the 1100–300 cm\(^{-1}\) region in the interval between 24 h and four weeks (Figs. 2d and 2e). The presence of ammonium ions can be detected near 1400 cm\(^{-1}\) (Chourabi and Fripiat 1981). Both spectra of the samples after 3 and 6 h synthesis (Figs. 2b and 2c) show sharp bands at 1400 cm\(^{-1}\) and shoulders near 3120 cm\(^{-1}\) originating from the bending and stretching vibrations of NH\(_3\), respectively. The shape of the band near 1400 cm\(^{-1}\) is changed in the spectrum of sample synthetized for 24 h (Fig. 2d). The band becomes broader.
with two clearly resolved maxima at 1430 and 1400 cm\(^{-1}\) because of vibrations of NH\(_3\) in the interlayers and in ammonium hydroxide, respectively (Chourabi and Fripiat 1981), thus confirming the development of a layered structure with NH\(_3\) in the interlayers. The amount of interlayer NH\(_3\) increases with increasing time of synthesis (compare the relative intensities of the bands at 1430 and 1400 cm\(^{-1}\) in the spectra of Figs. 2d and 2e). A relative increase in the amount of non-swelling layers in the sample synthesized for four weeks (Fig. 2e) is reflected in the higher intensity of the OH stretching band near 3620 cm\(^{-1}\) (Madejová et al. 1995). However, this sample still contains unreacted starting materials (see the low intensity absorption in the 850–700 cm\(^{-1}\) region).

With reaction time, a systematic decrease in both the overall line width and the number of fit components of the \(^{29}\)Si MAS NMR spectra were observed. Six separate peaks were fit within the relatively broad spectrum of the sample treated for 3 h (Table 1), and all components represent Q\(^4\) condensed and hydrated amorphous SiO\(_2\) (Kirkpatrick et al. 1985). In the 3 h experiment, no indication of framework or sheet silicates existed. The fit components at –89 and –101 ppm were assigned to Q\(^4\)(2OH) and Q\(^4\)(1OH), respectively, because of the enhancement of these peaks relative to others in the CP experiment. These peaks also could be attributed to Q\(^4\)(4Al) and Q\(^4\)(2Al), respectively (Kirkpatrick et al. 1985). However, on the basis of the total amount of Al added to the system, these assignments are unlikely, specifically for the component at –89 ppm. The signal at –62 ppm is thought to be either fully condensed opal, Q\(^4\)(4Si), i.e., Si(Si), (Englehardt and Michel 1987) or possibly isolated Si tetra-

headra (Lippmaa et al. 1980). The 24 h experiment shows condensation of the substituted and amorphous SiO\(_2\) into three primary sheets or framework SiO\(_2\)-like phases. The assignments at –84, –88, and –93 ppm are assigned to Q\(^4\)(2Al), Q\(^4\)(1Al), and Q\(^4\)(0Al), respectively (Englehardt and Michel 1987; Kirkpatrick et al. 1985; Kirkpatrick 1988). No significant change in the CP spectrum of the sample treated for 24 h was noted, thus ruling out the presence of any hydrated or OH-bearing phases. The results indicate that either an Al-substituted framework or dioctahedral sheet silicate began to form after only 24 h treatment. With further aging, the component at –84 ppm [peak associated with Q\(^4\)(2Al)] was lost while the contribution of the component at –88 ppm [peak associated with Q\(^4\)(1Al)] increased. The loss of the –84 ppm contribution was indicative of the condensation of Al-substituted Si phases into more Si-rich phases. No changes in chemical shift positions were noted with further aging beyond 24 h, except for a slight increase in the full width at half height (FWHH) for the –88 ppm component. Again, the lack of any increased intensity of the peaks during CP experiments rules out the possibility of assigning hydrated amorphous SiO\(_2\) phases.

TEM images of the reaction products were taken from specimens prepared as ultrathin sections and suspensions. Images of the sections taken at low magnification (Fig. 3) show the evolution and arrangement of illite crystallites within 4 weeks of treatment. The marked difference is again between the 3 and 24 h experiment. A TEM image of the shortest experiment shows mostly amorphous starting gel, but also some homogeneous spots representing partly recrystallized matter. A higher magnifi-
by well-developed stacks of illite layers with a random arrangement. Only some changes in the HRTEM images, such as a small increase of the crystallite thickness and length of particles, with the time of the treatment are observed. Also, the amount of amorphous matter, represented by gray stains on the photographs, decreases with time of treatment. The number of layers per crystal at high magnification shows a small increase in the mean with the reaction time. The mean values were 4.4, 5.8, and 7.2 silicate layers per crystal for the 1, 7, and 28 d experiments, respectively. Histograms representing the distribution of 2:1 layers per crystal appear polymodal (Fig. 5) and indicate an evolution of crystal growth with increasing treatment. The histograms spread and their maxima are shifted toward higher values with increasing reaction time.

HRTEM images show very short stability of crystal fringes under the electron beam. Fringes were damaged easily by the electron beam within 2–3 min. The first step is a decrease of the $d$ parameter from about 1.04 nm to 0.98 nm probably because of the release of ammonium from the illite interlayers. Only a few crystals have a $d$ parameter smaller than 0.98 nm. Subsequently, some bubbles appear between individual fringes and finally fringes disappear.

The shapes of individual particles and aggregates were observed by using specimens prepared by the sedimentation of the clay suspension. As mentioned before, no clay particles were observed in the 3 h experiment. The 24 h experiment contains mostly lath particles, often arranged in sets 120° apart (Fig. 6), and some platy particles. The platy particles with hexagonal morphology became more common in the following experiments. Their microstructure shows three sets of laths oriented 120° apart on the bottom and an overgrowth of a new layer on the top. This crystal growth mechanism is called coalescence (Sunagawa et al. 1975).

**Hydrothermal treatment of the glass**

Glass as starting material was hydrothermally treated between 7 and 28 d. XRD patterns of the reaction products (Fig. 7) show differences compared to those obtained with the gel starting material. The XRD pattern of the product obtained from the shortest experiment, 7 d, shows a trace of a randomly interstratified mixed-layer NH$_4$-illite-smectite with a high smectitic content of about 90%. Also a small and diffuse reflection between 8 and 9° 2θ is observed, indicating the possible presence of ammonium illite. The 14 d experiment gives again a pattern of randomly interstratified NH$_4$-illite-smectite, however, with a much lower content of smectitic interlayers, 70–75%. Both the 21 and 28 d experiments give the same patterns showing an increase in the smectite content of the mixed-layer mineral (80–85%) and a significant increase in the intensity of the reflection at about 8.6° 2θ, representing a new mineral phase of ammonium illite.

TEM data of the ultrathin sections obtained at low magnification show randomly arranged, thin crystals and
a lot of amorphous matter (Fig. 8) for the 7 d experiment. Products from 14 d of treatment show only small changes. The longest experiments (21 and 28 d) are very different from the previous ones. Mixed-layer crystals formed in three and four weeks are much thicker. The number of layers per one crystal, obtained at higher magnification on HRTEM images, confirms an increase in the mean thickness with the time of treatment: 5.7, 6.7, 8.4, and 9.6 layers per crystal for the 7, 14, 21, and 28 d treatments, respectively. Histograms of these measurements (Fig. 9) have a complicated polymodal distribution. TEM images obtained with the method of suspensions were used to determine the shape of the particles. Images of the 7 d experiment show predominantly foliated smectite-like flakes or aggregates of flakes with dimensions between 0.5 and 3 \( \mu \text{m} \) (Fig. 10). Rare small and extremely thin platy particles are present in this product. The longer experiments contain fewer curled flaky aggregates and more platy particles. In the 14 d experiment aggregates of thin laths arranged 120° apart were observed but they are not as common as in the products obtained from the treatment of the gel. Laths are rare in the 21 and 28 d experiments. Large and well-defined isometric particles (up to 4–5 \( \mu \text{m} \)) with distinct spots on selected-area electron diffraction pattern were found in the 14 d experiment and then in all subsequent experiments. They are of a ribbon-like morphology. Many small particles (0.1–0.5 \( \mu \text{m} \)) of variable shapes (rhombs, laths, and particles with irregular outline) were found in the 14, 21, and 28 d experiments. They represent either individual particles or aggregates, often forming overgrowths on the foliated flakes. Particles of a characteristic hexagonal shape were absent.

**Hydrothermal treatment of kaolinite and illite-smectite**

A clay fraction of the kaolinite from Sedlec and mixed-layer illite-smectite from Dolná Ves were treated for 7 d under the same conditions as the gel and the glass. An XRD pattern of treated kaolinite shows well-defined reflections of ammonium illite and some traces of kaolinite precursor (Fig. 11). Thus kaolinite was transformed into illite very quickly. A different result was obtained when the reaction products of hydrothermally treated illite-smectite were analyzed. The XRD pattern shows no changes in the starting material within reaction time. Thus no ammonium was fixed in the smectitic interlayers and expandability measured after EG saturation remained unchanged after treatment.

**INTERPRETATIONS AND CONCLUSIONS**

Hydrothermal treatment was applied to synthetic amorphous gel and glass of the same chemical composition. Although these two starting materials differed only in physical state (gel vs. glass) and water/solid ratio, significant differences in the reaction products were found. The gel was illitized quickly. Even within 3 h some changes were detected: HRTEM showed the existence of some primitive clay layers, and IR spectra showed shifts toward modes of the layered structure. After 24 h of treatment, a well-defined ammonium illite was identified. Longer treatment led to some changes in the particle arrangement and to an increase in the number of 2:1 layers.
per crystal. According to the TEM images, the first crystals to grow were laths of illite, which were mostly arranged in aggregates with the laths forming angles of 120°. There was subsequent overgrowth on the top of these laths (Fig. 6). In the first 1 to 2 d, nucleation and growth are the main growth mechanism, followed by crystal growth along c axis or coalescence. Direct illite crystallization from the gel shows that in environments with high water/solid ratio illite formation can be fast without a smectite or illite-smectite precursor.

Special attention was paid to synthesis of illite from amorphous gel in the presence of equivalent molar contents of ammonium and K. Both cations are common constituents of diagenetic and anachimetamorphic illites and illite-smectites (for references see introduction). The same proportion of K and NH₄ in the synthetic illite as in the starting materials implies that the proportion of these cations in natural illites reflects their availability during crystal growth. This hydrothermal evidence supports previous findings that there is no preferential fixation of K and ammonium in smectites during wetting and drying cycles (Sucha and Širáňová 1991).

Completely different products were found after hydrothermal treatment of the glass. A smectite-rich phase was formed, and longer treatments led to increased illitization. Therefore the illitization process is much more complicated than expected. Only 10% of the smectite is illitized within one week, forming randomly interstratified NH₄-illite-smectite. As illitization proceeded, about 25–30% of smectite was converted to ammonium illite after two weeks. At the same time, some traces of discrete illite appeared. Extended treatment led to further changes. Expandability of interstratified illite-smectite increased (probably because of an increase in the number of crystals per stack), and a significant amount of discrete NH₄-illite was formed. Probably several crystal growth mechanisms prevailed during the hydrothermal experiments with synthetic glass. The most common were nucleation of particles from the amorphous matter, dissolution of the nucleated particles, and precipitation of new ones. Coalescence, as observed on the TEM photographs, was a rare mechanism. The most interesting result is that both discrete illite and illite-smectite came into existence at the same time from the homogeneous material. It means that in natural environments we can expect at least three different phases of authigenic illite: illite from mixed-layer illite-smectite, illite crystallized directly from the source material, and illite transformed from other minerals, such as kaolinite. Fast illitization of kaolinite was also documented in one of the experiments.

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