

## In situ high-temperature Raman and FTIR spectroscopy of the phase transformation of lizardite

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### ABSTRACT

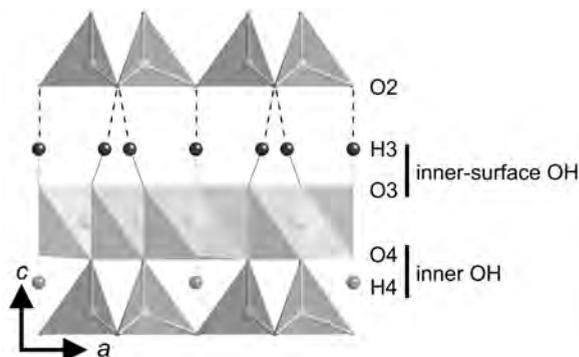
A temperature-dependent in situ micro-FTIR and micro-Raman spectroscopic investigation was performed on powdered (FTIR, Raman) and single-crystal (Raman) lizardite-1*T* samples between room temperature and 819 °C. Between room temperature and 665 °C, the OH stretching bands shift to lower wavenumbers, demonstrating a weak expansion of the O3-H3···O2 interlayer distance. Band deconvolution of FTIR and Raman spectra at room temperature show differences in the number of bands in the OH stretching region with respect to group theory: four (FTIR) and six (Raman) OH stretching bands, respectively. This number reduces to four (Raman) at non-ambient temperatures either caused by LO-TO splitting, the presence of non-structural OH species or the presence of different lizardite polytypes and/or serpentine polymorphs as well as defects. During dehydroxylation, the evolution of the integrated intensity of the OH bands suggests a transport of hydrogen and oxygen as individual ions/molecule or OH<sup>-</sup>. A significant change in Raman spectra occurs between 639 and 665 °C with most lizardite peaks disappearing contemporaneously with the appearance of forsterite-related features and new, non-forsterite bands at 183, 350, and 670 cm<sup>-1</sup>. A further band appears at 1000 cm<sup>-1</sup> at 690 °C. The long stability of Si-O-related bands indicates a delayed decomposition of the tetrahedral sheet with respect to the dehydroxylation of the octahedral sheet. Moreover, evidence for a small change in the ditrigonal distortion angle during heating is given. In general, all appearing non-forsterite-related frequencies are similar to Raman data of talc and this indicates the presence of a talc-like intermediate.

**Keywords:** Lizardite, dehydroxylation, Raman, and FTIR spectroscopy, talc-like intermediate

### INTRODUCTION

Lizardite Mg<sub>3</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4</sub> is a member of the serpentine group. The 1:1 phyllosilicate consists of brucite-like octahedral sheets connected to SiO<sub>4</sub> tetrahedral sheets. The most common structures are the one-layer 1*T* and the two-layer 2*H*<sub>1</sub> polytypes, although other polytypes are known. At ambient pressure and temperatures above 500 °C, lizardite breaks down to anhydrous phases, i.e., forsterite and enstatite. A probable mechanism for the liberation of the hydroxyl groups is a reaction of two adjacent hydroxyl groups that presumably recombine to a water molecule (e.g., Guggenheim et al. 1987). Theoretical studies have shown that the two symmetrically distinguishable hydroxyl groups (Fig. 1) in each lizardite polytype can be recognized by their infrared (IR) and Raman active bands in the frequency range between 3500 and 3800 cm<sup>-1</sup> (Balan et al. 2002; Auzende et al. 2004). Temperature-dependent FTIR and Raman studies are therefore suitable to study the structural change of serpentine minerals, which is difficult to understand by X-ray techniques (XRD) alone (e.g., Guggenheim and Zhan 1998). In general, temperature-dependent peak shifts or intensity/absorbance changes are caused by changes in the bond length, symmetry changes, or short- to large-scaled structural rearrangements (e.g., Zhang et al. 2006; Gillet 1996). Intensity changes of the OH Raman bands

and changes in absorbance of the OH IR bands can serve as a (semi-)quantitative information source to estimate the amount of OH or H<sub>2</sub>O within the crystal structure. Previous Raman and FTIR studies on serpentine minerals were mainly used as tools to distinguish serpentine polymorphs (e.g., Rinaudo et al. 2003) or to determine the structural behavior at high-pressure/high-temperature (HP/HT) conditions within the stability region of



**FIGURE 1.** Crystal structure of lizardite-1*T* (Mellini 1982) projected along the *b* axis showing the positions of the inner and inner-surface hydroxyl groups. Some authors, e.g., Auzende et al. (2004), designate the “inner-surface” OH also as “outer” OH.

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lizardite (Auzende et al. 2004). To our knowledge, the ambient-pressure breakdown of serpentine minerals to forsterite via an intermediate phase has not yet been studied by in situ HT Raman and HT FTIR techniques. This study focuses on in situ Raman and FTIR spectroscopy of a well-characterized lizardite-1T from room temperature (RT) to 819 °C, i.e., well above the thermal breakdown interval (Trittschack and Grob ty 2012).

## EXPERIMENTAL METHODS

### Sample material

The investigated lizardite sample, for which no origin is given, was taken from the ETH Zurich mineral collection. The electron microprobe-based determination of the stoichiometry yields  $(\text{Mg}_{2.84}\text{Fe}_{0.04}\text{Al}_{0.03})(\text{Si}_{2.02}\text{O}_5(\text{OH})_{4.09})$  and a total water content of 13.3 wt%, which was estimated by thermogravimetry. The sample mainly consists of the 1T polytype, although there are intergrowths of curled 1:1-layers and regions with a high concentration of stacking faults based on transmission electron microscopy (TEM) analyses (Trittschack and Grob ty 2012).

As reference, a lizardite-1T single crystal from the Monte Fico quarries, Elba Island/Italy, was used (private collection, Marcello Mellini). Comprehensive mineralogical and chemical data of this sample are provided by Mellini and Viti (1994) and Fuchs et al. (1998).

Powdered lizardite was gently pressed in a diamond-anvil cell (DAC) without gasket until a transparent film was generated. Single transparent pieces of this film were deposited in a sapphire crucible and used for all FTIR in situ dehydroxylation experiments. Raman experiments were performed on powdered samples and a Monte Fico single crystal, placed into a sapphire crucible.

### FTIR and Raman spectroscopy

FTIR measurements were performed with a Bruker VERTEX 80v spectrometer attached to a Hyperion1000 IR microscope and a software-controlled Linkam TS1000 heating stage at the GeoForschungsZentrum Potsdam (GFZ Potsdam/Germany). Spectra were collected in the 2500–4000  $\text{cm}^{-1}$  frequency range using a LN-INSB D413 detector, a KBr beamsplitter, and a 6 mm aperture in transmission mode. Single spectra were measured with a resolution of 2  $\text{cm}^{-1}$  and averaged over 60 individual scans which results in a measurement interval of about 64 s. A  $20 \times 20 \mu\text{m}$  sized area without visible cracks was chosen to collect FTIR spectra of the lizardite thin films. A constant flow of nitrogen was utilized to guarantee a rapid removal of the water generated during the dehydration. The thermocouple was calibrated using the melting points of different salts:  $\text{NaNO}_3$  ( $T_M = 306^\circ\text{C}$ ),  $\text{NaI}$  ( $T_M = 651^\circ\text{C}$ ), and  $\text{NaCl}$  ( $T_M = 801^\circ\text{C}$ ). The 0 °C point was included in the calibration line.

Micro-Raman investigations were performed with a HORIBA HR 800 UV spectrometer equipped with an Olympus BX41 microscope (20 $\times$  objective) in backscattering configuration at the GFZ Potsdam. All examined spectra were measured with an argon laser (488 nm, 300 mW). The spectrometer was calibrated against the emission bands of a neon lamp. Spectra acquisition time was 10 s and 4 spectra were cumulated for each temperature step. In general, spectra were measured in the frequency ranges of 100–1200 and 3500–3800  $\text{cm}^{-1}$ .

Non-isothermal runs were monitored to study the dehydroxylation of lizardite. The Raman experiments were obtained between 21 and 819 °C. An initial heating rate of 130 °C/min was used to heat the sample from 21 to 253 °C, afterward the heating rate was fixed to 100 °C/min for 50 °C increments (to 459 °C) and 50 °C/min for 25 °C increments, starting with the 484 °C spectrum and ending with the 819 °C spectrum. Single measurements after each temperature increment required 5 min owing to the Raman setup conditions. The FTIR data were collected between 21 and 665 °C. Initially, a heating rate of 100 °C/min was used for the following temperature intervals: 21–98, 98–201, 201–304, 304–356, 356–407, 407–433, and 433–459 °C. FTIR spectra were recorded after each temperature interval. A linear heating rate of 1 °C/min and a continuous measurement was chosen from 459 to 665 °C.

### Fitting procedure

Peak deconvolution and integration were performed with the PeakFit software package. The low-frequency range (100–1200  $\text{cm}^{-1}$ ) and high-frequency range (3500–3800  $\text{cm}^{-1}$ ) were treated independently. The Savitzky-Golay algorithm was used to smooth single spectra. After baseline subtraction, all spectra were deconvoluted using a combined Gaussian-Lorentzian Amp function for which

the width at the base and the full-width at half maximum (FWHM) are refinable parameters. A minimum number of peaks was chosen to guarantee a best fit to allow the comparison between individual time and temperature resolved spectra as shown by Auzende et al. (2004).

## RESULTS

### Raman and FTIR spectra at ambient conditions

The low-frequency range of the Raman spectra obtained at RT is characterized by five well-resolved strong bands that are accompanied by six weak bands (Fig. 2). Additional bands (Table 1) observable in the deconvoluted lizardite-1T spectrum of the Monte Fico sample are very weak or not present in spectra obtained from the powdered sample (Fig. 2). Precise peak positions and band assignments of both lizardite samples are summarized in Table 1. In general, the positions of the main peaks in the low-frequency range are identical with data summarized by Rinaudo et al. (2003). However, some bands were assigned to chrysotile by the latter (Table 1). We cannot unambiguously distinguish between purely lizardite-related or chrysotile containing, because these bands occur in the Monte Fico lizardite sample also, which contains up to 25 wt% chrysotile (Viti 2010). The 510  $\text{cm}^{-1}$  band assigned to deformation vibrations of  $\text{SiO}_4\text{-AlO}_4$  tetrahedra is visible in the spectrum of the Monte Fico crystal,

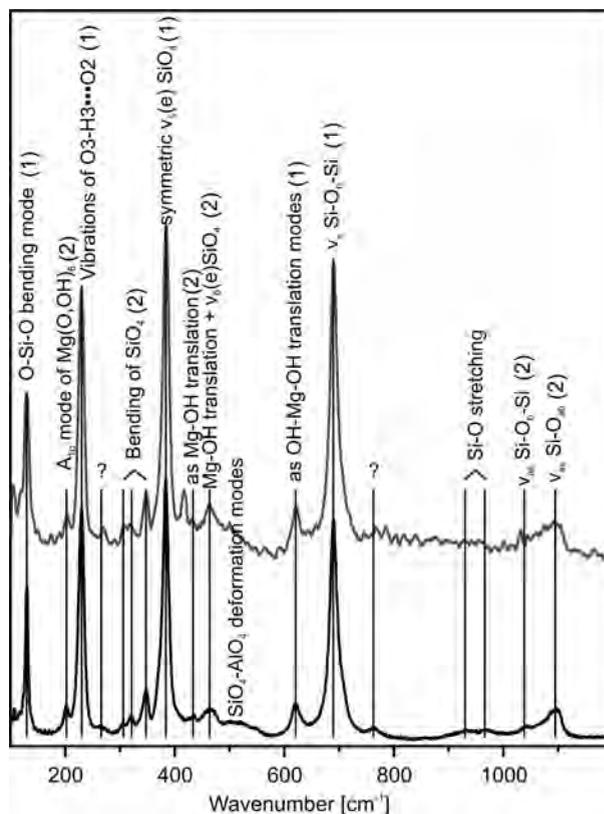


FIGURE 2. RT Raman spectra of lizardite-1T; the upper spectrum corresponds to the powdered ETH Zurich sample LizZH1, the lower spectrum to the single-crystal lizardite-1T, Monte Fico quarry (Elba, Italy); (1) indicates the five major bands and (2) the six minor bands of lizardite.

**TABLE 1.** Lizardite-1T related Raman and FTIR bands and their assignments at room temperature

Band assignment*†	Lizardite-1T‡ (single crystal) Raman bands (cm <sup>-1</sup> )	Lizardite-1T Raman bands (cm <sup>-1</sup> )	Lizardite-1T FTIR bands (cm <sup>-1</sup> )
Unknown		117.1	
O-Si-O bending mode (1)	129.4	129.5	
A <sub>1g</sub> mode of Mg(O,OH) <sub>6</sub>	201.9	202.4	
Vibrations of O3-H3...O2 (1)	229.1	229.4	
Unknown		265.7	
Bending of SiO <sub>4</sub>	305.1		
	320.0		
	346.2	349.1	
s Mg-OH vibration <sup>chr</sup>	371.4		
s v <sub>2</sub> (e) bending of SiO <sub>4</sub> (1)	383.5	384.1	
Unknown artifact		416.9	
as Mg-OH translation <sup>chr</sup>	430.4		
Mg-OH translation + v <sub>6</sub> (e) SiO <sub>4</sub> <sup>chr</sup>	464.5	465.7	
SiO <sub>4</sub> -AlO <sub>4</sub> deformation mode	517.5		
as OH-Mg-OH translation mode (1)	621.6	621.6	
Unknown	669.9		
s Si-O <sub>b</sub> -Si bridging/ stretching mode (1)	689.8	690.1	
Mg-OH outer s translation mode	707.1		
Unknown	763.4		
Si-O stretching mode	928.6		
	972.4		
as Si-O <sub>b</sub> -Si stretching mode	1050.2	1072.4	
as Si-O <sub>nb</sub> stretching mode	1094.3	1099.1	
OH stretching frequencies	3653.2	3649.4	3652
	3667.4	3670.2	3669
	3672.8	3684.0	3688
	3683.6	3690.6	3703
	3693.7	3698.1	
	3702.7	3704.0	

Notes: as = antisymmetric, s = symmetric, chr = attributed to chrysotile vibrations by Rinaudo et al. (2003). (1) Indicates the five major Raman bands of lizardite in the low-frequency range.

\* Rinaudo et al. (2003).

† Farmer (1974).

‡ Sample described by Mellini and Viti (1994), but measured individually for this study.

but is missing in the powdered sample due to the low-Al content of our sample. The antisymmetric Si-O stretching frequencies centered at around 1072 and 1099 cm<sup>-1</sup> are present but an accurate fitting in the in situ recorded spectra is difficult owing to their low intensity and large FWHM (Fig. 2).

For a deconvolution of the overlapping OH stretching frequencies at RT, four peaks were used for the FTIR spectra (Fig. 3a) and six for the related Raman spectra (Fig. 3b). Six bands were required also to fit OH bands in the Raman spectrum obtained from the Monte Fico single crystal (Table 1). This amount of bands is not compatible with theoretical data of the serpentine polymorph chrysotile by Klopogge et al. (1999), who indicated the presence of just four Raman active OH stretching frequencies. Generally, the band assignments (Figs. 3a–3b) follow the scheme of Balan et al. (2002), whereas close-set bands are interpreted as originating from the same mode. Regarding the band multiplication, the possible role of longitudinal optical and transversal optical splitting modes and multiple OH bands originating from different polytypes/polymorphes will be discussed in section "OH bands and their assignments." An increased number of OH stretching frequencies in the Raman spectra, compared to the group theory predictions, were reported by Auzende et al. (2004).

### Temperature-dependent Raman spectra in the low-frequency region

For an investigation of the phase transformation behavior, six peaks of the RT spectra of the powdered sample were monitored through the entire temperature range. Smaller peaks, present in the RT spectrum, were omitted owing to the high background at elevated temperatures (Fig. 4). In general, the intensity of all lizardite-related bands decreases with increasing temperature and a steady frequency shift to lower wavenumbers is observed (Figs. 4, 5, and 6). Most shifts are linear functions of temperature, except for the A<sub>1g</sub> mode of the Mg(O,OH)<sub>6</sub> group and the symmetrical Si-O<sub>b</sub>-Si vibration (Figs. 6b and 6f). There is no fundamental modification in the Raman pattern of the powdered sample taken at temperatures up to 639 °C (Figs. 4 and 5b). A significant change is observed between the Raman spectrum at 639 and 665 °C (Figs. 5b–5c). In the latter, most lizardite-related bands suffer an intensity decrease. In the spectrum of 639 °C, the formation of forsterite is documented with a broad band at around 848 cm<sup>-1</sup> (Fig. 5b). Initially, this band can be fitted adequately by one single peak. With increasing temperature, this broad band is split into two bands centered at 810 and 844 cm<sup>-1</sup> (Fig. 5c). Both are attributed to Si-O stretching vibrations (McKeown et al. 2010). The same bands are also present in a quenched sample, but shifted to 822 cm<sup>-1</sup> (v<sub>1</sub>) and 855 cm<sup>-1</sup> (v<sub>2</sub>) (Fig. 5f). Together with bands assigned to forsterite, a new peak at around 183 cm<sup>-1</sup> appears (gray shaded area in Fig. 4, marked by a rhomb in Figs. 5b–5d). This band rapidly loses intensity at higher temperatures, which is in contrast to forsterite bands, which gain intensity. Simultaneously, a significant reduction in intensity and a frequency jump is observable for the v<sub>2</sub> Si-O<sub>b</sub>-Si lizardite vibration (Fig. 6f). An additional feature appearing in the 665 °C spectrum is a 350 cm<sup>-1</sup> band and a band at 1000 cm<sup>-1</sup> at 690 °C (Figs. 5c–5d). Only the latter persists up to 819 °C and is also present in spectra of the quenched sample (Fig. 5f). The various O-Si-O and SiO<sub>4</sub>-related lizardite stretching vibrations in the low-frequency range (Fig. 2, Table 1) and the 223 cm<sup>-1</sup> (v = 229 cm<sup>-1</sup> at RT) band, characteristic for O3-H3...O2 group vibrations (Fig. 1, Rinaudo et al. 2003), are present until the initial appearance of forsterite.

### Temperature-dependent Raman- and FTIR-spectra in the OH stretching region

At temperatures higher than RT, the number of peaks necessary to deconvolute the OH bands adequately is four, both for the Raman (Fig. 7) and IR spectra (Fig. 8). There are no additional OH-related bands visible during heating. The frequency shifts of the four bands attributed to OH are illustrated in Figure 9 (FTIR data) and Figure 10 (Raman data) as a function of temperature. Following Balan et al. (2002), three bands (Fig. 3) are attributed to the out-of-phase stretching modes of the inner-surface OH groups (3652 cm<sup>-1</sup>), the in-phase stretching modes of the inner-surface OH groups (3688 cm<sup>-1</sup>) and the stretching modes of the inner OH groups (3703 cm<sup>-1</sup>). The fourth deconvoluted band at 3669 cm<sup>-1</sup> (RT) is not indicated among the 51 transverse optical modes indicated by Balan et al. (2002). The in-phase stretching modes of the inner-surface OH groups and the stretching vibrations of the inner OH groups show a linear temperature relationship, whereas two linear segments are necessary to describe the

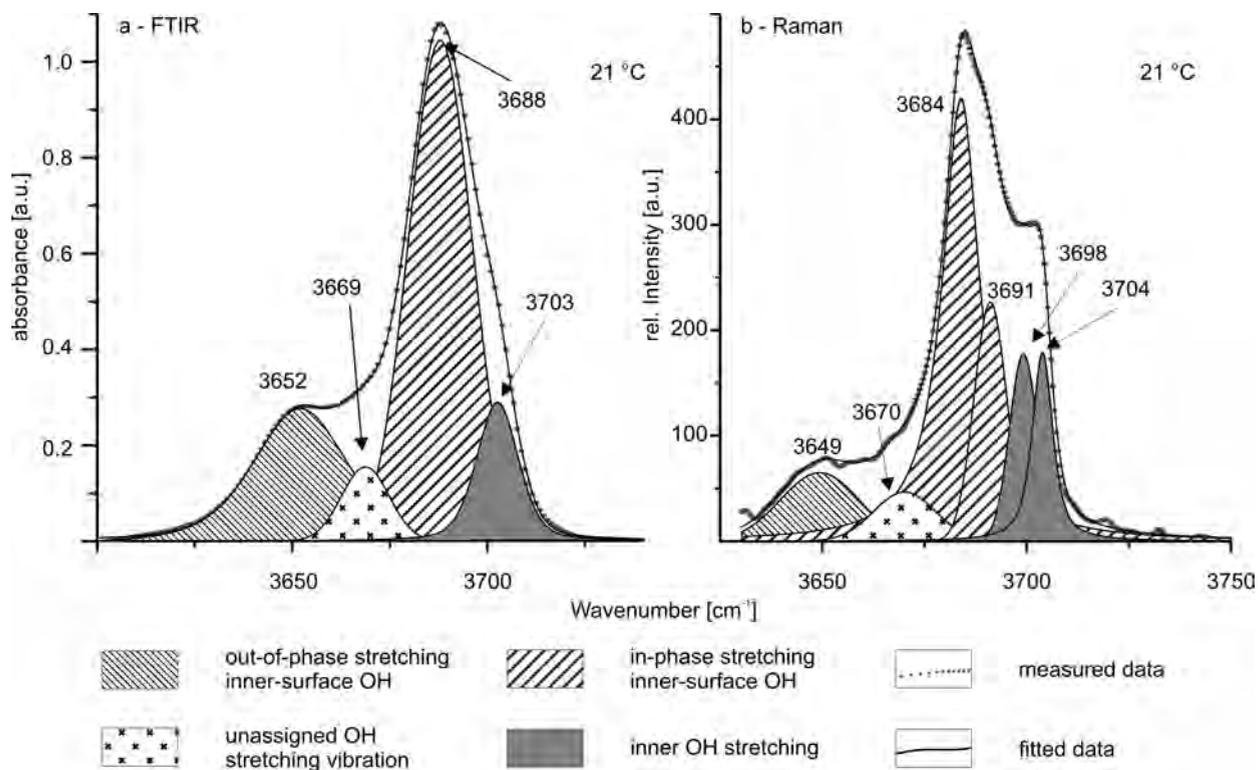


FIGURE 3. FTIR (a) and Raman (b) signals of the OH stretching region illustrating the peaks used for a signal deconvolution at RT.

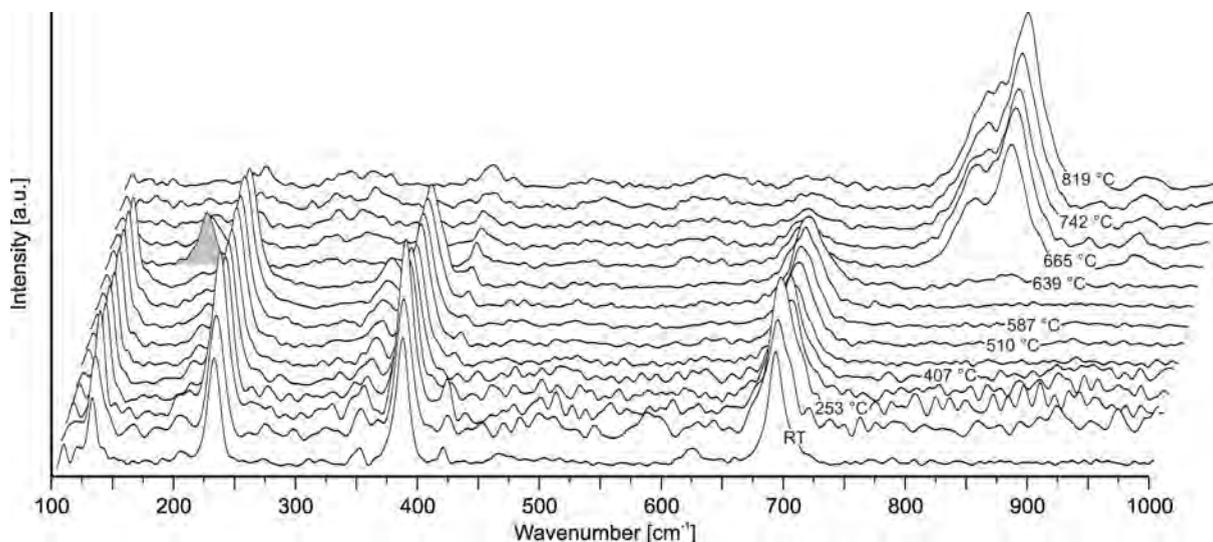


FIGURE 4. Temperature-dependent phase transformation behavior of the low-frequency Raman bands of lizardite-1T between RT and 819 °C; gray shading marks the high-temperature 183  $\text{cm}^{-1}$  band at 665 °C simultaneously with a forsterite doublet between 800 and 900  $\text{cm}^{-1}$ .

thermal frequency evolution of the out-of-phase vibration of the inner-surface OH groups. The temperature at the intersection of the segments is indicated by an arrow in Figure 9a. This temperature coincides approximately with the temperature of an accelerated dehydroxylation behavior (Fig. 11a). The unassigned band shows a similar non-linear frequency evolution (Fig. 9b).

The frequency of all Raman OH vibration modes decreases linearly with temperature (Fig. 10). A frequency jump in Raman data, characterized by an obvious deviation from the linear course and marked by the last two data points per Raman band, occurs between 639 and 665 °C. The latter spectrum is the last in which OH bands are still visible.

Libowitzky (1999) showed that the OH stretching frequencies can be used to calculate O...O and H...O distances in hydrous minerals, i.e., the lizardite interlayer distances (for comparison see Guggenheim and Zhan 1998) are determined from the OH stretching frequencies. Using this method, the interlayer distance (O2-O3 distance) derived from the FTIR data increases from  $2.89 \pm 0.06 \text{ \AA}$  at RT to  $2.90 \pm 0.06 \text{ \AA}$  at  $582 \text{ }^\circ\text{C}$ . These distances correspond to an O2...H3 distance of  $2.23 \pm 0.01 \text{ \AA}$  at RT to  $2.27 \pm 0.01 \text{ \AA}$  at  $582 \text{ }^\circ\text{C}$ .

The evolution of the integrated absorbance with increasing temperature of the four OH bands extracted from the in situ FTIR experiments results in a sigmoidal shaped dehydroxylation curve. Initial dehydroxylation starts at  $450 \pm 20 \text{ }^\circ\text{C}$ . The dehydroxylation maximum lies at  $540 \text{ }^\circ\text{C}$  (Fig. 11a). The absorbance of the individual inner-surface and inner OH stretching modes also starts to decrease at around  $450 \text{ }^\circ\text{C}$  (Fig. 11b). The average intensity of the unassigned band is picking up with beginning of the dehydroxylation reaction.

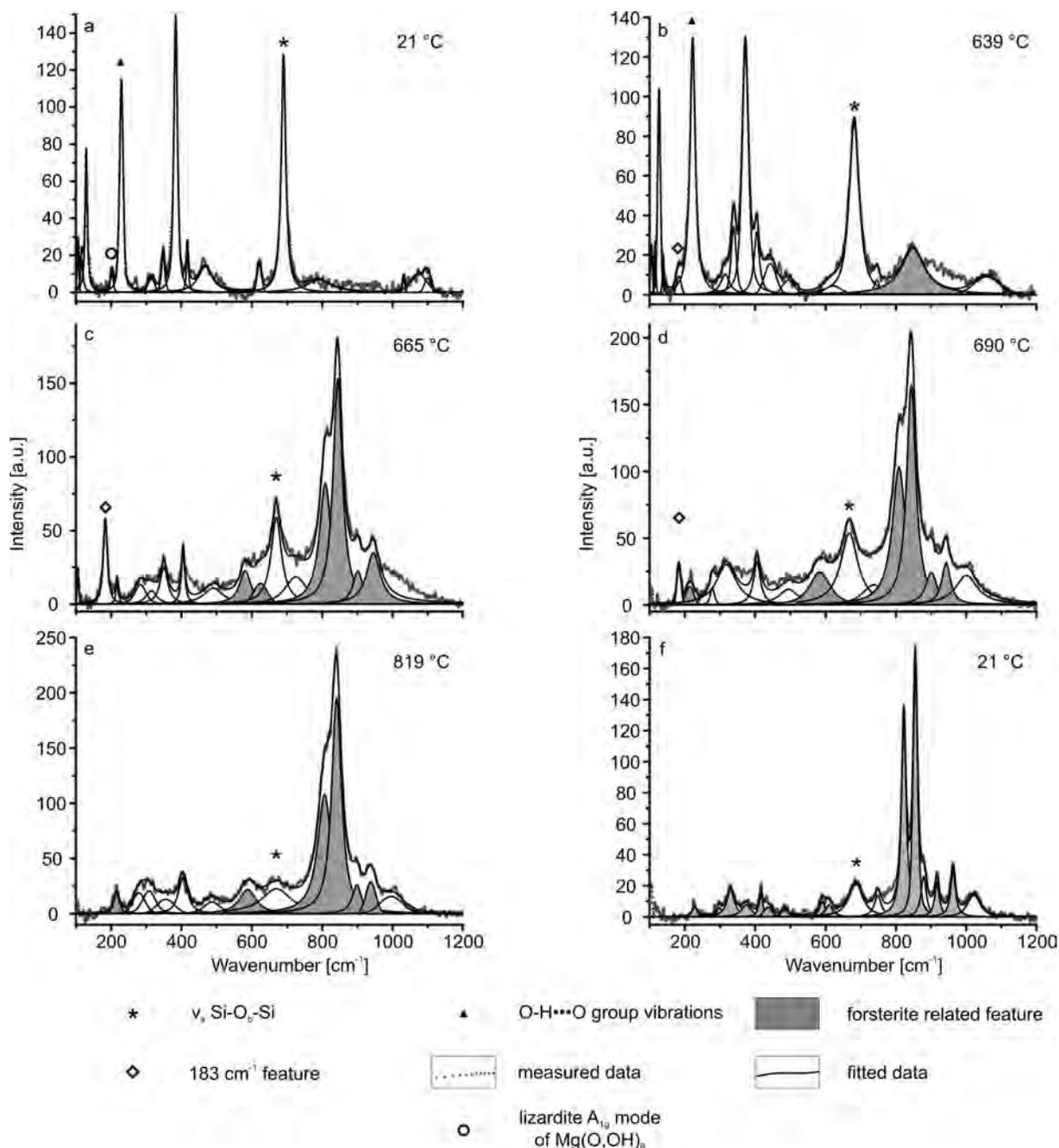


FIGURE 5. (a-f) Low-frequency range of temperature-dependent Raman measurements representing the phase transformation of lizardite-1T to forsterite; (f) sample was heated progressively to  $819 \text{ }^\circ\text{C}$  and afterward quenched and re-measured at RT ( $21 \text{ }^\circ\text{C}$ ).

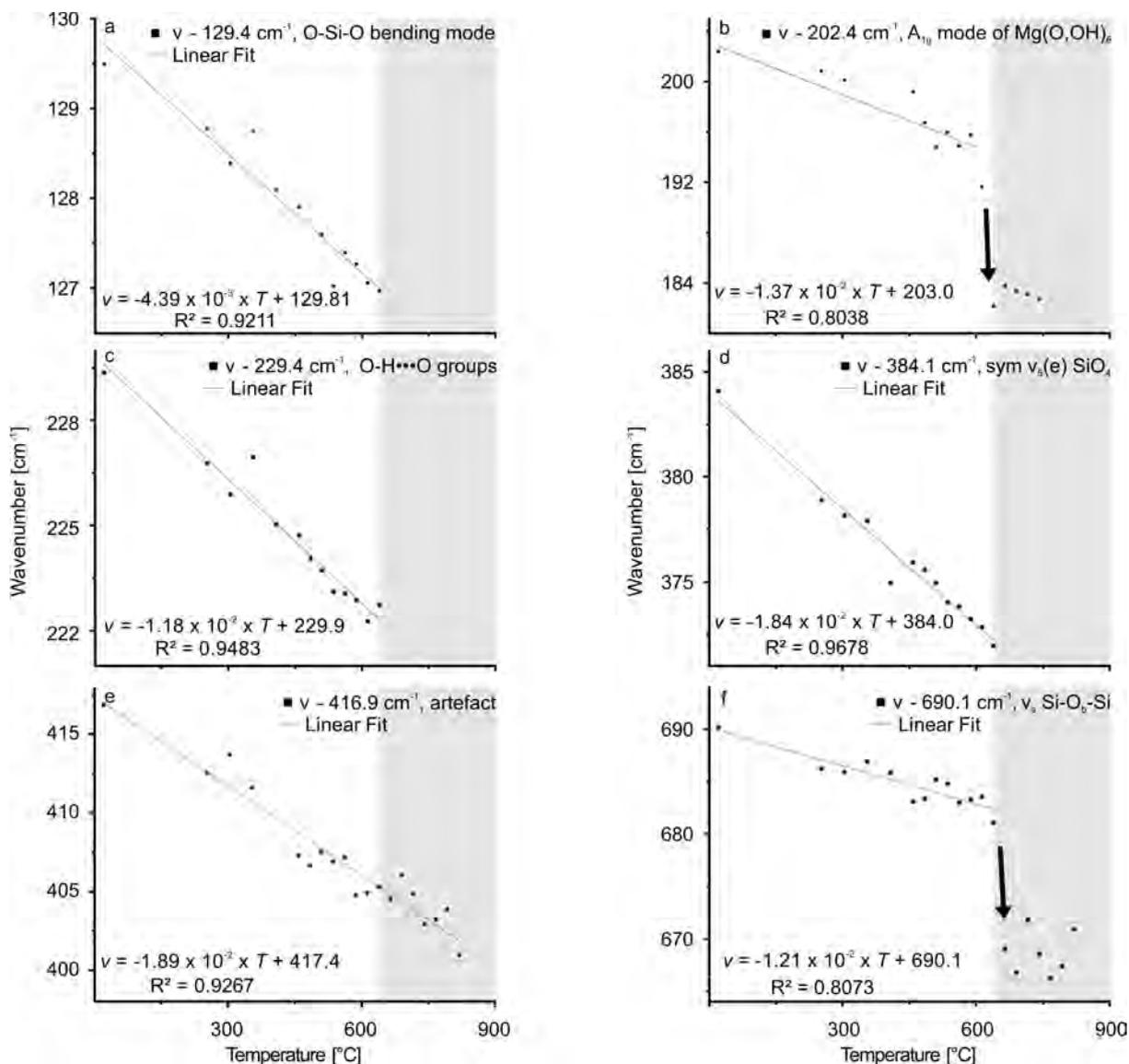


FIGURE 6. (a–f) Temperature-dependent Raman band shifts in the low-frequency region of lizardite-1T; gray shading marks the presence of forsterite.

The sum curve of the four Raman OH stretching band intensities (including the unassigned band, for comparison see Figs. 3, 7, and 8), which occur at temperatures higher than RT, gently decreases between RT (Fig. 12a) and 450 °C. The minimum of the derivative, functionally related to the maximum in the OH release, occurs at around 640 °C. Above 665 °C the bands disappear completely. The inner-surface OH stretching bands start to decrease in intensity at around 550 to 600 °C (Fig. 12b). Similar to the behavior observed in the FTIR spectra, the unassigned band has on average a higher intensity during the dehydroxylation than at lower temperatures.

## DISCUSSION

### OH bands and their assignments

Whereas RT low-frequency Raman spectra of lizardite are compatible with previous data (e.g., Rinaudo et al. 2003), OH

stretching frequencies in both the Raman and FTIR data show unusual band multiplication compared to group theory considerations by Balan et al. (2002) and Prencipe et al. (2009). Additional bands to fit the spectrum for the OH stretching modes of lizardite at RT has been recognized previously (e.g., Auzende et al. 2004) and additional OH bands are also known from spectra of 2:1 phyllosilicates (Zhang et al. 2005). Three different causes are most likely responsible for the appearance of the extra OH band: (1) longitudinal optic-transverse optic (LO-TO) splitting, (2) additional OH groups such as non-structural OH groups, also related to disorder, and (3) mixture of lizardite polytypes and/or chrysotile-like layers. According to hybrid Hartree-Fock/Density functional theory (HF/DFT) calculations of the vibrational spectrum of lizardite, Prencipe et al. (2009) interpreted additional bands observed by Auzende et al. (2004) as originating from LO-TO splitting of the three OH vibrational modes. Additional OH

bands in Raman spectra of kaolinite are also attributed to LO-TO splitting (Farmer 1998). Prencipe et al. (2009) and Farmer (1998) comprehensively presented the physical background of such additional band appearances related to LO-TO splittings. The key parameter for LO-TO splitting is the size of individual crystals compared to the Raman laser wavelength (Farmer 1998). IR data contain mostly TO modes, except for powdered samples with crystallite sizes greater than the IR wavelength, whereas Raman data are frequently affected by LO-TO splitting (Farmer 1998). Hence, a wavelength depending behavior, i.e., band multiplication owing to sample size related parameters with respect to the IR and Raman laser wavelength, may result in additional bands in our RT FTIR and Raman data sets, with four observable IR bands, but six Raman bands. Thus, the transparent film used probably shows a less complex OH stretching band pattern (dominated by TO modes) than the resulting patterns of the single crystal and the loose powder used in the Raman experiments.

Non-structural OH species have been suggested as an alternative explanation for additional OH band(s) in vibrational spectra of phyllosilicates (Wang et al. 2002; Zhang et al. 2006, 2010). However, most of these bands appear during the heat treatment and before significant dehydroxylation (e.g., Zhang et al. 2010).

These bands are often situated at wavenumbers significantly different from that of initial OH bands. In the case of talc, such extra bands occur within the range of the primary OH bands (Zhang et al. 2006). In our experiments, the band at  $3669\text{ cm}^{-1}$  (FTIR) and  $3670\text{ cm}^{-1}$  (Raman), respectively, labeled as the unassigned band in Figure 3, can be interpreted as resulting from some non-structural OH species already present at RT, but increasing during dehydroxylation. A third possible cause for the additional bands may be the presence of different polytypes, turbostratic stacking faults or curved, chrysotile-like layers within the lizardite sample (Trittschack and Grob ty 2012). Defects have been suggested as an explanation for additional hydroxyl bands in amphiboles (Burns and Strens 1966), micas (Beran 2002), and kaolinite and dickite (Brindley et al. 1986). For samples containing more than one polymorph of a phase, individual frequency shift rates ( $\delta\nu/\delta T$ ) for specific modes of individual polymorphs may change the degree of overlap. This changes the number of bands necessary for an accurate fit of the measured spectra. Brindley et al. (1986) document such temperature-dependent OH band specific shifts in kaolinite and dickite. However, taking the possibility of a LO-TO splitting into account, such a solution is simplistic.

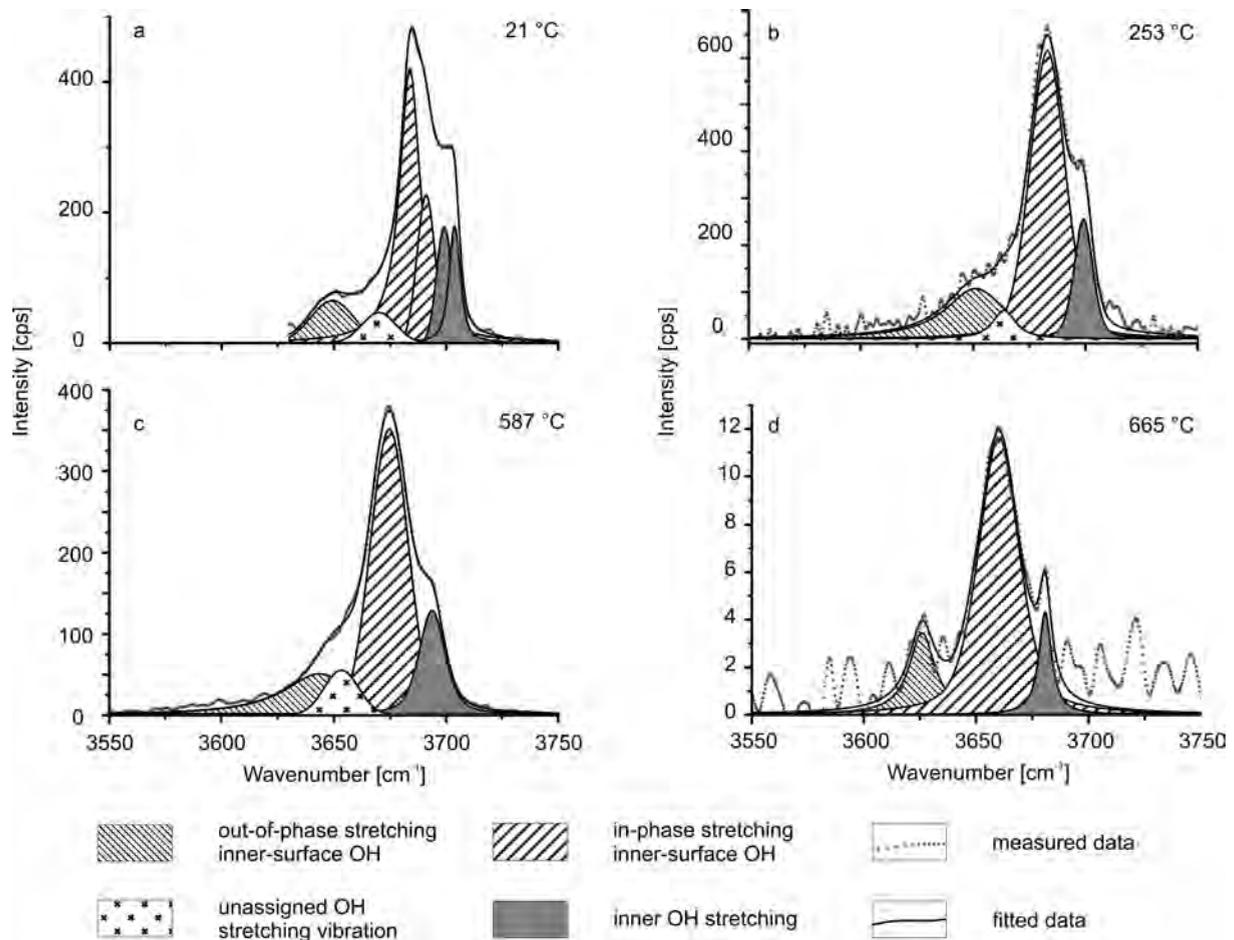


FIGURE 7. (a–d) OH stretching frequency range of temperature-dependent Raman measurements representing significant intensity reduction between RT and 665 °C, the highest temperature where OH signals are still present.

### The lizardite dehydroxylation I: Comparison between FTIR, Raman, and TGA data

The temperature at which the absorbance of the OH bands starts to decrease in the FTIR spectra is comparable with the dehydroxylation onset observed in TGA analysis of the same sample (Trittschack and Grob ty 2012). The rate of the intensity decrease (Fig. 11) is, however, more rapid than the TGA derived dehydroxylation rates in experiments with comparable heating rate (540  C compared to  $\sim 650 \text{  C} \leq T_{\text{max}} \leq \sim 720 \text{  C}$ ) reported by Trittschack and Grob ty (2012). The explanation for the discrepancy may be that the two techniques monitor different steps of the dehydroxylation process. Whereas FTIR/Raman spectroscopy is sensitive to the primary hydrogen and hydroxyl liberation steps, TGA monitors the exit of the dehydroxylation products from the sample e.g., the weight loss after the products leaves the reactant grain and the powder. Thus, the TGA signal is initially delayed relative to the spectroscopic signal. The differences in dehydroxylation maxima observed in FTIR and Raman data are related to the different samples used. Also, the preparation of the samples used for the FTIR and Raman experiments were different: whereas for the Raman spectroscopy

a gently pressed powder and a single crystal were used, the FTIR spectroscopy was obtained on a thin transparent film pressed in a DAC. The partial pressure (or activity) of the product species (water, hydroxyl, hydrogen, and/or oxygen molecules) along the diffusion path decreases more rapidly in a thin film than in a loose powder and single crystal, respectively. This increases the absolute dehydroxylation reaction rate in the thin film, which may explain the accelerated intensity loss observed in the FTIR measurements. A similar explanation has been suggested for the differences in IR spectra between annealed pyrophyllite samples of a varying thickness (Wang et al. 2002).

### The lizardite dehydroxylation II: Kinetic aspects and their spectroscopic indications

The activation energy  $E_A$  as function of the reaction progress estimated by TGA based dehydroxylation studies of lizardite shows an initial increase from 200 to over 350 kJ/mol followed by a plateau (Trittschack and Grob ty 2012). For heating rates similar to the one used here, the activation energy plateau is reached at about 50  C below the temperature at which the OH bands have lost almost 60% (at 639  C), respectively, 98% (665

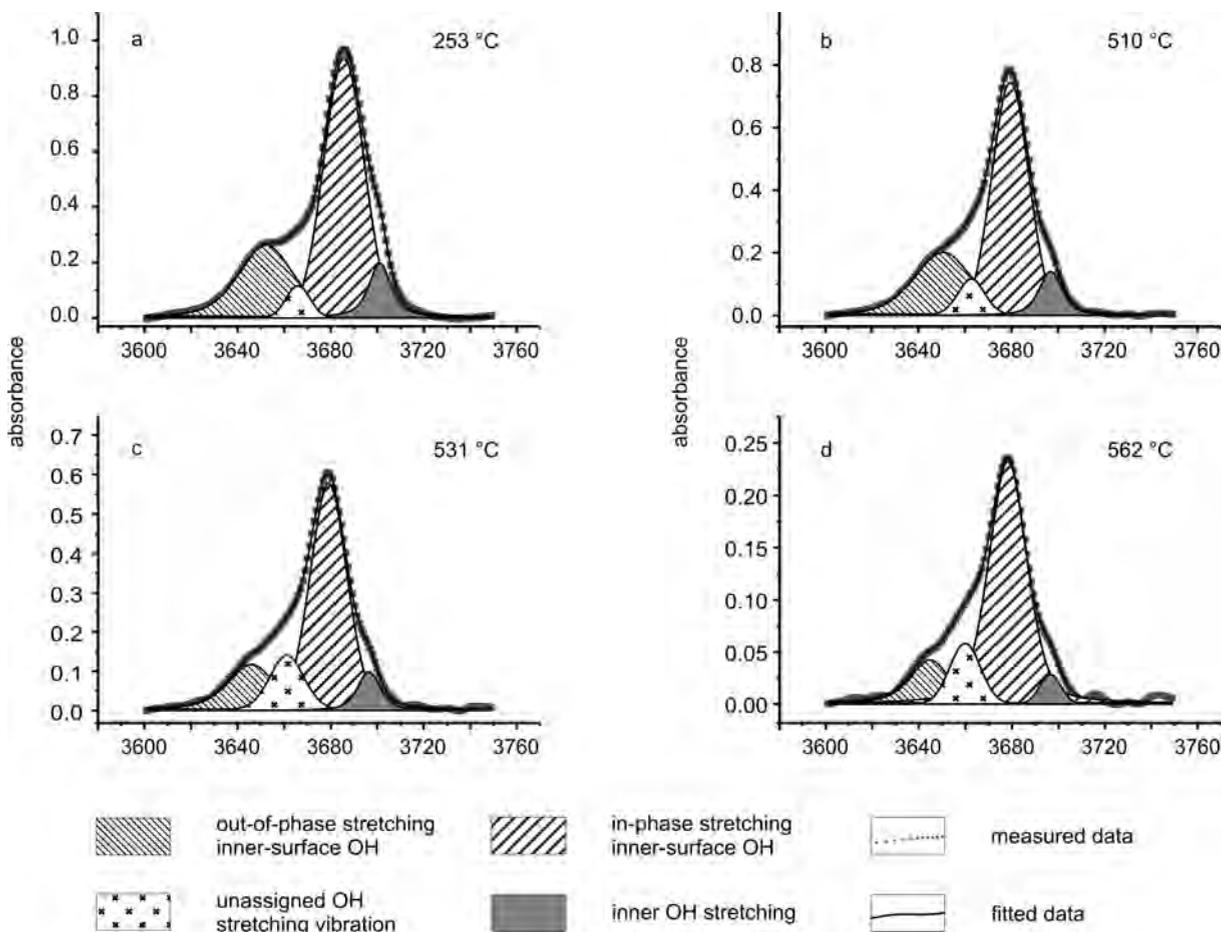


FIGURE 8. (a–d) OH stretching frequency range of temperature-dependent FTIR measurements representing a significant reduction in absorbance between RT and 562  C.

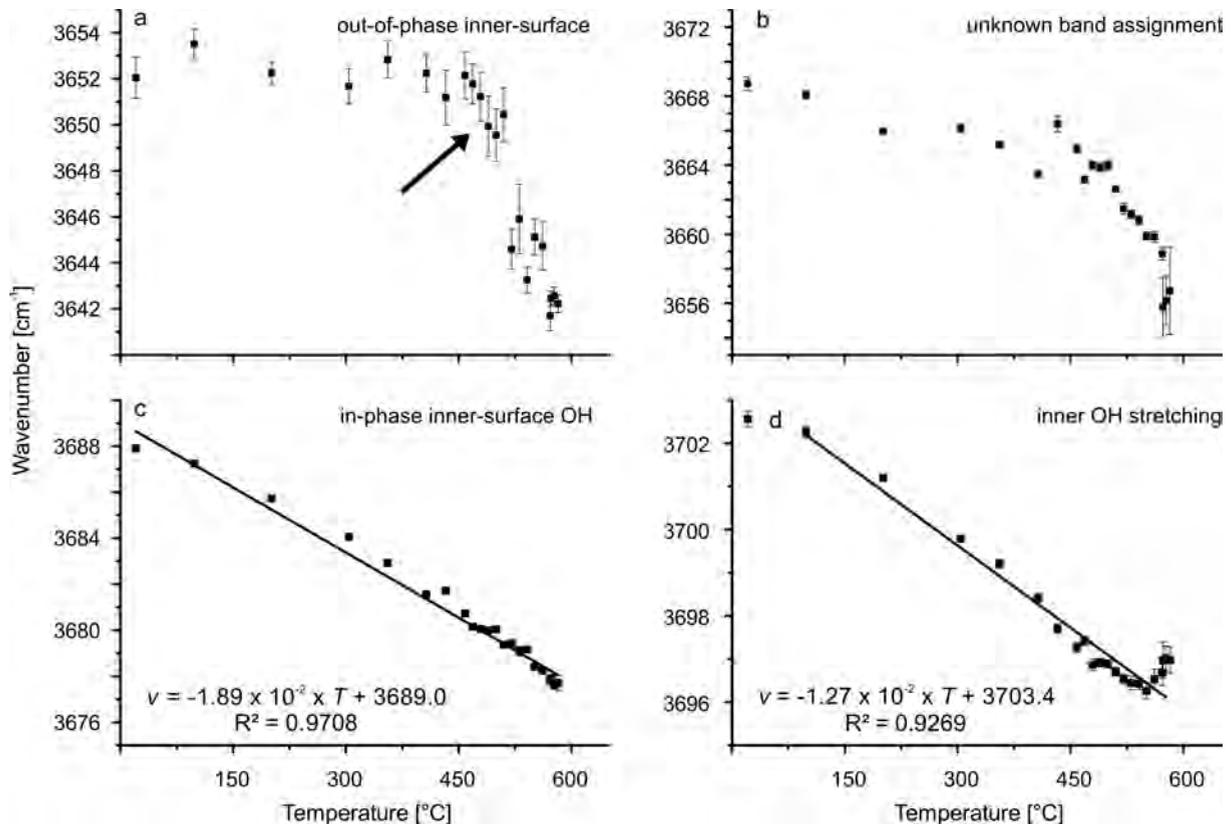


FIGURE 9. (a–d) Development of the inner and inner-surface IR OH stretching frequencies during heat treatment; error bars are illustrated and may be smaller than the shown data points.

°C) of their intensity in the Raman spectrum. DFT calculations and almost all previous works (e.g., Gualtieri and Ferrari 2006; Molina-Montes et al. 2008a, 2008b; Sperinck et al. 2011) propose a recombination of neighboring proton and hydroxyl ions to H<sub>2</sub>O molecules as the primary reaction step, followed by diffusion of the products (H<sub>2</sub>O molecules) along the interlayer to the surface. Several distinct recombination variants are possible, which differ by the distance between the reacting hydroxyls. Trittschack and Grob ty (2012) interpreted the increasing  $E_A$  as a result of these parallel recombination steps, whereby the close distance, low  $E_A$  recombination reactions occur at the beginning of dehydroxylation. With increasing reaction progress, longer distance recombination variants with higher  $E_A$  become more frequent. The plateau  $E_A$  corresponds to the recombination variant with the highest  $E_A$  and the slight decrease indicates the increasing influence of transport in certain parts of the sample (large grains). This interpretation is compatible with DFT calculations (Molina-Montes et al. 2008a, 2008b; Sperinck et al. 2011), but not with experimental work by Gualtieri and Ferrari (2006) and Gualtieri et al. (2012), who indicate one-dimensional diffusion of H<sub>2</sub>O molecules through the six-membered silicate rings. In the latter case, H<sub>2</sub>O-related modes should be visible in situ Raman and FTIR spectra of partially dehydrated samples. Martin (1977) and Datta (1991) described a broad, infrared active band between 3200 and 3500 cm<sup>-1</sup> in thermally pretreated chrysotile samples, which they assign to H<sub>2</sub>O modes. Such bands were not

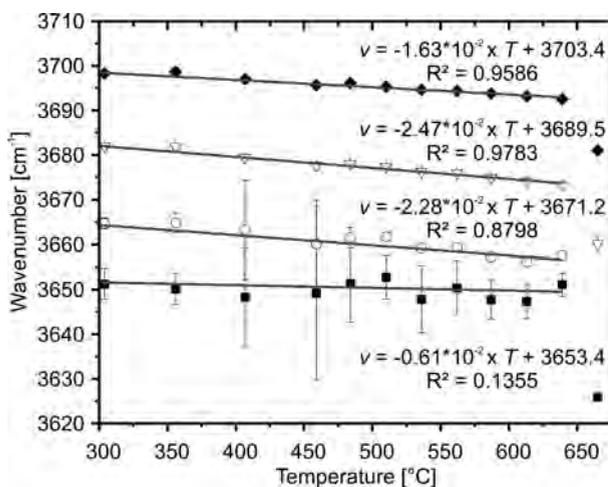
present in our spectra. Also Zhang et al. (2010) did not find any H<sub>2</sub>O-related bands in their FTIR spectra of partially dehydrated sericite, muscovite, pyrophyllite, and talc. Zhang et al. (2005) prefer a hydrogen/oxygen/hydroxyl hopping mechanism over H<sub>2</sub>O molecule diffusion as the transport mechanism for the dehydroxylation products. The transport by hopping requires similar bond breaking and formation steps as the initial liberation reactions. The transport mechanism would have similar  $E_A$  as the primary reactions, and could, therefore, also become rate-limiting. Thus, transport could also contribute to the  $E_A$  plateau. The increased intensity of the unassigned OH mode during dehydroxylation in both the FTIR and Raman spectra may be related to such transient hydroxyl groups formed during transport. This interpretation is tentative, because the unassigned hydroxyl band is the result of the deconvolution of strongly overlapping bands.

#### Dehydroxylation products: A talc-like intermediate and forsterite

To approximately 450 °C, the spectra are only affected by a constant frequency shift of the Raman and IR bands, which relate to thermal expansion of the structure. The intensity of all bands remains nearly constant to this temperature (Figs. 4 and 11a). Above this temperature, bands assigned to atom groups belonging to the octahedral sheet, i.e., A<sub>1g</sub> mode of lizardite (Fig. 6b) and OH stretching vibrations (Fig. 9a), show a strongly discontinuous behavior (Figs. 6b and 6f). This is interpreted as resulting from

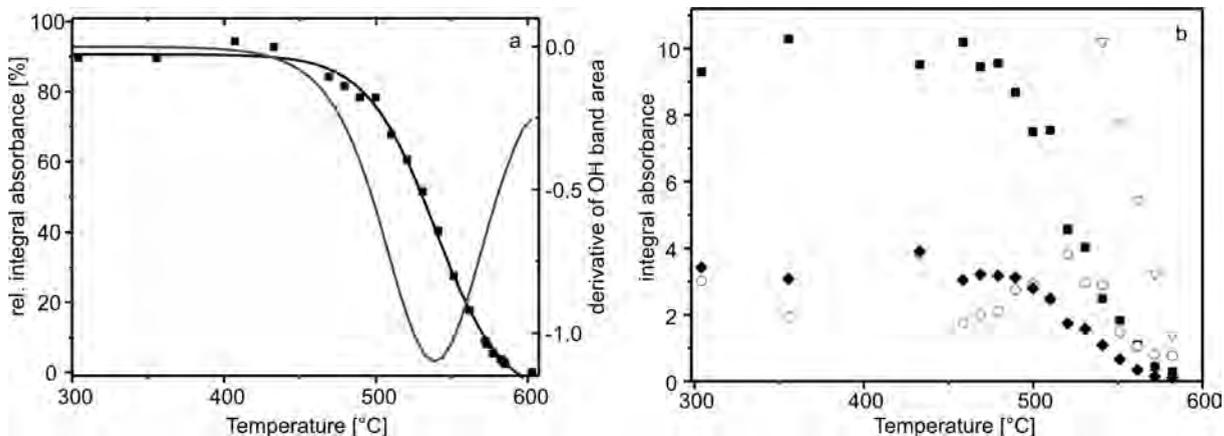
changes in the atomic bonding environment. These changes occur at about the same temperature where the absorbances/intensities of the OH bands start to decrease. Thus, the onset of dehydroxylation affects only the octahedral sheet. The different behavior of the in-phase (Fig. 9a) and out-of-phase OH stretching mode frequencies (Fig. 9c) as function of temperature, however, is surprising. The in-phase stretching mode shows a break in the slope of  $\delta\nu/\delta T$ , whereas the slope of the out-of-phase mode is characterized by a linear slope. Modes originating from the same atomic group should show the same frequency behavior. A possible cause may be the deconvolution procedure.

The small temperature-dependent shift of the O-Si-O bending mode located at 129  $\text{cm}^{-1}$  (RT) to lower wavenumbers is related to the change in ditrigonal distortion of the tetrahedral



**FIGURE 10.** Development of the inner and inner-surface Raman OH stretching frequencies during heat treatment, error bars are illustrated and may be smaller than the shown data points; open circle = unassigned band, filled rhomb = inner OH band, filled square = out-of-phase inner-surface OH band, reverse triangle = in-phase inner-surface OH band.

sheet as demonstrated by X-ray diffraction (Guggenheim and Zhan 1998). The shifting rate  $\delta\nu/\delta T$  is one order of magnitude smaller than for all other bands ( $-0.439 \text{ cm}^{-1}/100 \text{ }^\circ\text{C}$ ). The first intensity changes in Si-O-related Raman modes occur above 600  $^\circ\text{C}$  (Fig. 4) where the hydroxyl modes have lost more than half of their initial intensity (Fig. 12a). The tetrahedral sheet is thus not affected by the initial changes in the octahedral sheet. The large jump in frequency of the  $A_{1g}$  mode of lizardite down to 183  $\text{cm}^{-1}$  and the jump in frequency of the  $\nu_s$  Si-O<sub>b</sub>-Si band is assigned to product phase(s) of the dehydroxylation. Under ambient, dry conditions the first recognizable dehydroxylation product is mainly amorphous, but crystallizes upon further heating to forsterite, followed by enstatite (Brindley and Hayami 1963). The stable products of the dehydroxylation reaction of pure magnesian lizardite under hydrothermal conditions are talc, forsterite, and water (O'Hanley et al. 1989). Various authors (e.g., McKelvy et al. 2004; Brindley and Zussman 1957) reported low-angle peaks in X-ray diffractograms of thermally treated serpentine phases, indicating that amorphization is not complete and that dehydroxylation produces intermediate partially ordered structures. Although differing in detail, early studies by Ball and Taylor (1963) and Brindley and Hayami (1965) proposed reaction sequences with disordered dehydroxylated intermediates. They infer the presence of a partially ordered structure from the topotactic relationship between forsterite and serpentine (Brindley and Hayami 1963). Based on a peak corresponding to a  $d$ -spacing of 14 Å, McKelvy et al. (2006) proposed the formation of a lamellar oxyhydroxide meta-serpentine characterized by a doubled cell dimension along the  $c$  axis and a heterogeneous OH distribution. Their DFT calculations support the formation of such a 14 Å structure, which is transformed to an amorphous phase with progressive dehydroxylation. Two different partially ordered, anhydrous intermediates, dehydroxylate I and II, are proposed by MacKenzie and Meinhold (1994) based on  $^{25}\text{Mg}$  and  $^{29}\text{Si}$  shifts in NMR spectra of heated chrysotile samples. According to these authors, dehydroxylate I has a structure similar to the parent phase, whereas the  $^{29}\text{Si}$  NMR position of dehydroxylate II is similar to talc. Viti (2010) suggests the formation of intermedi-



**FIGURE 11.** (a) Decrease of the integrated absorbance of all FTIR OH stretching bands as function of temperature and corresponding derivative curve and (b) for the individual inner-surface OH stretching bands and the unassigned band. The course of the inner OH stretching band behaves like the inner-surface OH bands and is just shown for temperatures higher than 500  $^\circ\text{C}$ . All symbols have the identical assignment as in Figure 10.

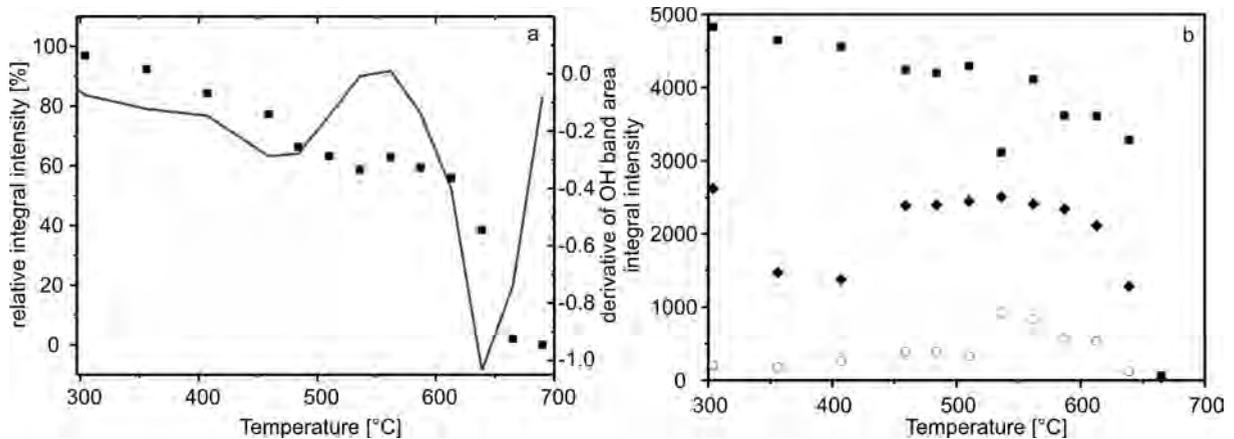


FIGURE 12. (a) Decrease of the integrated intensity of all Raman OH stretching bands as function of temperature and corresponding derivative curve and (b) for the individual inner-surface OH stretching bands and the unassigned band. All symbols have the identical assignment as in Figure 10.

ate, partially ordered dehydroxylation products on the bases of DTG/DTA analyses of lizardite. Their weight-loss rate curves show two maxima, a feature also observable in DTG experiments of Trittschack and Grobéty (2012). The first maximum is attributed to the partial dehydroxylation of the initial lizardite leading to the intermediate phase. The dehydroxylation of the latter would be responsible for the second maximum.

Three new features at 183, 350, and 670  $\text{cm}^{-1}$  in the spectrum at 665  $^{\circ}\text{C}$ , which cannot be assigned to either lizardite or forsterite, are interpreted as originating from one or more intermediate phases. Supported by a band appearing at 1000  $\text{cm}^{-1}$  (690  $^{\circ}\text{C}$ ) it is possible to assign them as Raman bands related to talc as reported by Fumagalli et al. (2001). The bands located at 670 and 1000  $\text{cm}^{-1}$  are also observable in the spectrum of the quenched dehydroxylated sample, where they are shifted to 686 and 1021  $\text{cm}^{-1}$ , respectively. Referring to Fumagalli et al. (2001) these bands can be assigned to a  $\text{Mg}(\text{O},\text{OH})_6$  mode (183  $\text{cm}^{-1}$ ), a symmetric  $\text{SiO}_4$  mode (350  $\text{cm}^{-1}$ ), a  $\nu_s$  Si-O<sub>6</sub>-Si mode in case of the 670  $\text{cm}^{-1}$  (686  $\text{cm}^{-1}$  at RT) feature (Fig. 5d) and one Si-O stretching mode represented by the 1000  $\text{cm}^{-1}$  feature at 819  $^{\circ}\text{C}$  and 1021  $\text{cm}^{-1}$ , respectively, in the spectra of the quenched sample. The strongest of three weak single bands in the OH stretching mode region (Fig. 7d) is also consistent with the spectrum of talc (Fumagalli et al. 2001). This interpretation supports NMR observations by MacKenzie and Meinhold (1994) who proposed a talc-like structure for their dehydroxylate II phase. During dehydroxylation, the partial pressure of the volatile products may be sufficiently high in certain regions of the sample to nucleate talc, a stable product of the lizardite dehydroxylation in the hydrous system (O'Hanley et al. 1989). The band at 183  $\text{cm}^{-1}$  appears also in the dehydroxylation of chrysotile (Trittschack and Grobéty, unpublished manuscript), where it is present for a much broader temperature range.

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